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PATENT  
Attorney Docket No. 06502.0523-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Sheng LIANG et al. ) Group Art Unit: 2187  
)  
Serial No.: 09/856,779 ) Examiner: Brian R. PEUGH  
)  
Filed: October 3, 2001 ) Confirmation No.: 3704  
)  
For: A METHOD FOR ENABLING )  
COMPREHENSIVE PROFILING )  
OF GARBAGE-COLLECTED )  
MEMORY SYSTEMS )

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**OR**

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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(d)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after a Notice of Allowance but before payment of the issue fee and is accompanied by a fee of \$180.00 as specified under § 1.17(p) and a certification as specified under § 1.97(e).

Each document listed in this Information Disclosure Statement was first cited in a communication from the U.S. Patent and Trademark Office in a divisional application of the present application, and this Information Disclosure Statement is being filed within

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three months of the mailing date of that communication. Copies of the listed foreign and non-patent literature documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

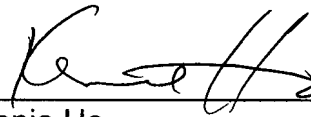
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present Application.

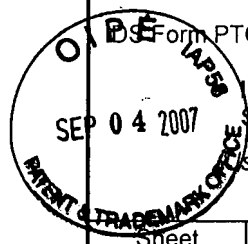
If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: September 4, 2007

By:   
Kenie Ho  
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Form PTO/SB/08: Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<i>Application Number</i>	09/856,779
				<i>Filing Date</i>	October 3, 2001
				<i>First Named Inventor</i>	Sheng LIANG et al.
				<i>Art Unit</i>	2187
				<i>Examiner Name</i>	Brian R. Peugh
Sheet	1	of	1	<i>Attorney Docket Number</i>	06502.0523-00000

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-5,193,180 A	03-1993	Hastings, Reed	
		US-6,047,125 A	04-2000	Agesen et al.	
		US-6,058,457 A	05-2000	Tremblay et al.	
		US-6,523,531 B1	03-2003	O'Connor et al.	
		US-			
		US-			
		US-			

**Note:** Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup>				

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
		Rosenberg, Jonathan B., How Debuggers Work, Wiley Computer Publishing, pp. 180-182 (1996).	
		Liang et al., Comprehensive Profiling Support in the Java Virtual Machine, USENIX Association, 5 <sup>th</sup> USENIX Conf. on Object-Oriented Technologies and Systems (COOTS '99) (May 1999).	
		Spencer, Gordon, "JDK 1.2: Immature, but tons of new abilities," JavaWorld, Inc. (January 1, 1998).	
		Office Action dated June 28, 2007, from United States Patent and Trademark Office for U.S. Application No. 10/758,266.	
Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.